

BOX TENT APPLICATION  
Attorney Docket No. 82386

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**Technology Center 2100**

In re Application of:

Michael Aronowich et al.

Serial No.: 10/098,615

Group Art Unit: 2161

Date Filed: March 18, 2002

For: COMPUTER IMPLEMENTED METHOD AND SYSTEM FOR COMPUTING AND  
EVALUATING DEMAND INFORMATION

# TRANSMITTAL LETTER

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Information Disclosure Statement Transmittal Letter;
- (3) PTO Form 1449; and
- (4) Five (5) References.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,

**NATH & ASSOCIATES PLLC**

By:

Harold L. Novick

Registration No. 26,011

Customer No. 20529

Date: June 6, 2002  
NATH & ASSOCIATES PLLC  
1030 15<sup>th</sup> Street N.W., 6<sup>th</sup> Floor  
Washington, D.C. 20005  
(202) 775-8383  
HLN/kp

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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner of Patents  
Washington, D.C. 20231

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. §1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

☒ a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

☐ b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311.

The enclosed statement is accompanied by [check one]:

- ☐ i. a certification in part (e) below as specified in 37 C.F.R. §1.97(e), or  
☐ ii. a check in the amount of \$180.00 required by 37 C.F.R. §1.17(p).

☐ c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311, but before payment of the issue fee.

☐ d. The enclosed statement is being filed pursuant to 37 C.F.R. §1.97(i), for placement in the file.

☐ e. Certification [Check one] [Certification is required only if box (b)(I) or box (c) is checked.]



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OF  
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[ ] I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

[ ] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

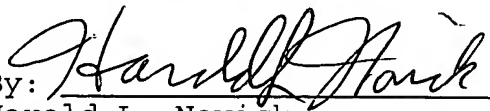
[ ] Appropriate certification is attached.

[ ] f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.

Copies of the documents are attached herewith with a completed Form PTO-1449. The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,  
NATH & ASSOCIATES PLLC

By:   
Harold L. Novick  
Registration No. 26,011  
Customer No. 20529

Date: June 6, 2002  
NATH & ASSOCIATES PLLC  
1030 15<sup>th</sup> Street, N.W., 6<sup>th</sup> Floor  
Washington, D.C. 20005  
Tel. (202) 775-8383  
Fax. (202) 775-8396

HLN/kp

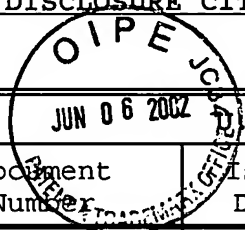
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PART PAPER # 4

Page 1 of 2

FORM PTO-1449

INFORMATION DISCLOSURE CITATION



Atty Docket  
82386

Serial No.  
10/098,615

Applicant  
Michael Aronowich et al.

Filing Date  
March 18, 2002

Group Art Unit  
2161

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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	AL						
	AM						
	AN						
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

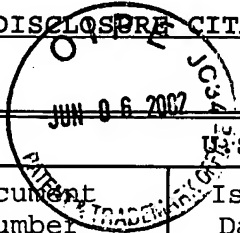
	AR		K.A. Artto, E. Pylkkanen; An effective procedure for the distribution of magazines; Int'l. Trans. in Op. Res. 6 (1999) 289-310.
	AS		PC Bell-Richard Ivey School of Business; Forecasting demand variation when there are stockouts; Journal of the Operational Research Society 2000; 51: pp. 358-363.
	AT		William E. Wecker; Predicting Demand from Sales Data in the Presence of Stockouts; Management Science, Vol. 24, No. 10 June 1978; pp. 1043-1054.

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.  
Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to Applicant.

<p>FORM PTO-1449</p> <p><u>INFORMATION DISCLOSURE CITATION</u></p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Atty Docket 82386</td> <td style="width: 50%;">Serial No. 10/098,615</td> </tr> <tr> <td colspan="2">Applicant Michael Aronowich et al.</td> </tr> <tr> <td>Filing Date March 18, 2002</td> <td>Group Art Unit 2161</td> </tr> </table>	Atty Docket 82386	Serial No. 10/098,615	Applicant Michael Aronowich et al.		Filing Date March 18, 2002	Group Art Unit 2161
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Applicant Michael Aronowich et al.							
Filing Date March 18, 2002	Group Art Unit 2161						



U.S. PATENT DOCUMENTS

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	BA					
	BB					
	BC					
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	BM				
	BN				
	BO				
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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

BQ		Peter C. Bell; Adaptive Sales Forecasting with Many Stockouts; J. Op. Res. Soc. 1981; Vol. 32, pp. 865 to 873.
BR		Peter C. Bell; A New Procedure for the Distribution of Periodicals; J. Opl. Res. Soc. 1978; Vol. 29, 5, pp. 427-434.
BS		

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